

## Experimental High Energy Physics at Colliders Lecture 2: Reconstruction of Objects - 2







Objects (from inside – out):

- Tracks
- Vertices
- Calorimeter clusters
- Jets (colorimeter & track)
- MET (missing transverse energy)
- Muons
- Fit of event topology (check if a given assumption fits the event topology)



#### Using the MC in HEP analysis







MC is heavily used in any type of analysis:

- In measurements it is used to compute acceptances, efficiencies
- In searches it is used to derive Signal Background

This means having an excellent knowledge of the detector performance. For this MC simulation needs to

- Include expected resolutions and efficiencies (first guess)
- be "tuned" to experimental findings. This is an iterative procedure based on comparison between data and MC

Scale factors & Control Regions

# Material budget

#### Knowing the real structure of our detectors



[ATLAS: The q·p<sup>T</sup> distribution for tracks as a function of the pseudorapidity]



## Radiation Length $X_0$ , interaction length $\lambda$ : Why?

Dead Material (cables, pipes, ducts) affects the reconstruction of tracks  $\rightarrow$  important for tracking detectors, less for EM calorimeters, much less for hadronic calorimeters

#### Electrons:

Reminder energy loss via Bremsstrahlung:

Energy loss mainly via bremsstrahlung Radiation length [X<sub>0</sub>]: characteristic scale for e/γ Electron energy down to 1/e after passing through X<sub>0</sub>  $-\frac{\mathrm{d}E}{\mathrm{d}x}=\frac{E}{X_0}$ 

Goals: only little material in front of calorimeters (trackers, solenoid) more than 20 X<sub>0</sub> for EM calorimeter to fully contain EM showers Examples: X<sub>0</sub>/p for water 36 cm, for lead 0.56 cm, for steel 1.76 cm

#### Photons:

Mean free path for  $e^+e^-$  pair production from  $\gamma$ : 7/9 X<sub>0</sub>

#### Hadrons:

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Characteristic scale: nuclear interaction length  $\lambda$ 

Relevant for total calorimeter depth (hadronic + electromagnetic)

Examples:  $\lambda/\rho$  for water 83.6 cm, for lead 17.1 cm, for steel 17 cm Toni Baroncelli - INFN Roma TRE Physics at Hadron



#### **Dead Material**





## Dead Material: how to measure it?

e

 $e^+$ 

#### ... via photon conversion

#### Selection:

Two oppositely charged tracks with  $p_{\rm T} > 0.5~GeV$ 

Small distance between tracks Good vertex; zero opening angle At least 4 silicon hits on both tracks More than 90 % probability for electron identification using TRT hits

# Fraction of converted photons translate into radiation length

$$\frac{X}{X_0} = -\frac{9}{7}\ln(1 - F_{\rm conv})$$

Fconv: normalized conversion fraction [Normalization according to well-known material in beam pipe]

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## Material Budget in ATLAS and CMS inner trackers Radiation Lenghts



**Figure 8** Distribution of amount of material in the volume of the ATLAS (*left*) and CMS (*right*) trackers, expressed as fractional radiation length  $X/X_0$  versus pseudorapidity  $\eta$ . These plots do not include additional material just in front of the electromagnetic calorimeters, which is quite large in ATLAS (LAr cryostat and, for the barrel, solenoid coil) and much less in CMS (front part of crystal mechanics). For illustrative purposes, the ATLAS material has been split into its radial components from inside (beam pipe) out [Transition Radiation Tracker (TRT) and services outside the active detector volume], whereas the CMS material has been split into its various functional components.

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Date	$\begin{array}{l} \text{ATLAS} \\ \eta \approx 0 \end{array}$	$\eta \approx 1.7$	$\begin{array}{l} \text{CMS} \\ \eta \approx 0 \end{array}$	$\eta \approx 1.7$
1994 (Technical Proposals)	0.20	0.70	0.15	0.60
1997 (Technical Design Reports)	0.25	1.50	0.25	0.85
2006 (End of construction)	0.35	1.35	0.35	1.50

 TABLE 5
 Evolution of the amount of material expected in the ATLAS and CMS trackers from 1994 to 2006

The numbers are given in fractions of radiation lengths  $(X/X_0)$ . Note that for ATLAS, the reduction in material from 1997 to 2006 at  $\eta \approx 1.7$  is due to the rerouting of pixel services from an integrated barrel tracker layout with pixel services along the barrel LAr cryostat, to an independent pixel layout with pixel services routed at much lower radius and entering a patch panel outside the acceptance of the tracker (this material appears now at  $\eta \approx 3$ ). Note also that the numbers for CMS represent almost all the material seen by particles before entering the active part of the crystal calorimeter, whereas they do not for ATLAS, in which particles see in addition the barrel LAr cryostat and the solenoid coil (amounting to approximately 2 X<sub>0</sub> at  $\eta = 0$ ), or the end-cap LAr cryostat at the larger rapidities.

Evolution of dead material expectation in ATLAS and CMS tracker

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The material in the ATLAS Inner Detector (ID) is mapped by the hadronic interaction technique. Hadrons created in pp collisions traversing the detector may interact strongly with the material.

$$N_{\lambda_I}^{[C]} = \int\limits_C \mathrm{d}s \, \frac{1}{\lambda_I(s)} \, .$$

The interaction points are reconstructed as secondary vertices (SV), by fitting vertices to the outgoing secondary tracks arising from the nuclear interactions. The vertices are reconstructed using an integrated luminosity equal to 19 nb<sup>-1</sup> of data collected by the ATLAS Experiment in 2010.

Secondary tracks are selected by requiring the transverse impact parameters  $|\delta_0|$  of the tracks to be greater than 5 mm. These tracks need to have transverse momentum  $p_T > 400$  MeV. A second pass of tracking is run, after the standard tracking, on left over hits and effectively extend the reconstruction efficiency for secondary tracks greatly. The hadronic interaction vertices are constructed by an inclusive vertexing algorithm, which ran over the selected tracks.

The intensity indicated by the zz-axis for all plots are equal to the amount of secondary vertices.



## Secondary Hadronic Vertices in 2010 (r vs z)



View of the material in the ATLAS Inner Detector in the rz-plane (left) mapped by hadronic interaction vertices (SV). The beam pipe ( $r_{sv} = 34.3 \text{ mm}$ ), the three pixel barrel layers ( $r_{sv} = 50.5$ , 80.5, 122.5 mm), the two first SCT barrel layers ( $r_{sv} = 299$ , 371 mm) and three disks in the pixel forward region ( $r_{sv} < 200 \text{ mm}$  and |z| > 450 mm) are clearly visible.

View of the pixel modules in the first barrel layer (B-Layer) in the ATLAS Inner Detector (**right**). The high intensity object at the lower part of the module is the active Silicon-element (Z = 0 mm), the half-circle object at the top side of the modules is the cooling fluid pipe (X = 3 mm, Z = 5 mm) and in the bulk of module structures such as capacitors below the module are visible.



## Secondary Vertices in 2010 (x vs y)







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#### The Position of the Beam Pipe





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# Inner Tracking Alignment and Resolution Determination



[ATLAS: The q·p<sup>T</sup> distribution for tracks as a function of the pseudorapidity]



Track thousands of charged particles  $[p_T > 1 \text{ GeV and } |\eta| < 2.5]$ 

Identify particles by signal matching [e.g. track-cluster matching for electrons]

Identify long-lived particles e.g. B-hadrons, τ ... [secondary vertexing]

Radiation hardness [harsh environment close to beam pipe]

Minimum multiple scattering [Small material budget]

CMS: pixels; silicon micro-strip detectors [200 m<sup>2</sup>]

e.g. ATLAS: pixels; silicon micro-strip detectors & transition radiation tracker



## ATLAS Inner Tracking System







## ATLAS Inner Tracking System



#### Before IBL!

**Charged track** [pτ =10 GeV at η=0.3]

#### Passage through:

- Beryllium beam pipe
- 3 pixel layers
- 4 double SCT layers
- about 36 TRT straws Physics at Hadron



# IBL (Insertable B Layer) in ATLAS inserted during LS1

- Improve performance of existing system
- Installed inside existing pixel detector together with a new smaller beam pipe
- Tracking extended to  $\eta = 2.9$





#### The IBL in the transverse plane



**Figure 1.** IBL structure in  $r - \phi$  plane.



#### CMS Inner Tracking System







## ATLAS track reconstruction

Second stage,

refine tracks

R = 554 m R = 514 mr R = 443 mr

R = 371 m R = 299 m

R = 122.5 mr R = 88.5 mm

R = 50.5 mm

R = 0 m

"Primary tracks", first stage

- 1. Start from 3-point seed in silicon detectors
- 2. Add hits moving away from IP using Kalman filters
- 3. Tracks extended to TRT
- 4. Tracks required to have  $p_T$ >400 MeV

Refine tracks, second stage

1. Go back inward and add silicon hits

Mitigate effect of pile up. Robust algorithm

- 1. Increase of pile up induces more combinatorial fake tracks
- 2. Tighten track quality: 9 hits, no hole. Less fakes, less efficiency

First stage

TRT



# Kalman filters

1 (2 hits) 3 (4 hits) 2 (3 hits) Hits left?

Kalman filter is an iterative procedure

• Starts from a seed (2 hits)

Extrapolates and includes next hit; accounts for material, multiple scattering, energy loss

Recalculates track parameters, refines extrapolation



#### Kalman filters



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## Kalman Filter





## Spatial Resolution and Alignment





## Spatial Resolution and Alignment





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## Spatial Resolution and Alignment





#### ATLAS alignment



Run number



## Tracker Performance

#### Typical track parameters:

q/p: signed curvature (q: charge)d₀,z₀: impact parametersθ,φ: angles

#### Key performance figures:

Reconstruction efficiency Momentum resolution

Impact parameter resolution [e.g. transverse impact parameter]

do-resolution:

$$\delta_{d_0} \equiv \frac{\Delta d_0}{d_0} = \frac{|d_{0,\text{true}} - d_{0,\text{rec}}|}{d_{0,\text{true}}}$$

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#### Tracker performance

	TABLE / Main performance characteristics of the ATLAS and C	IMS trackers			
		ATLAS	CMS		
	Reconstruction efficiency for muons with $p_T = 1 \text{ GeV}$ Reconstruction efficiency for pions with $p_T = 1 \text{ GeV}$ Reconstruction efficiency for electrons with $p_T = 5 \text{ GeV}$	96.8% 84.0% 90.0%	97.0% 80.0% 85.0%		
Better in CMS; higher and more uniform B field	Momentum resolution at $p_T = 1$ GeV and $\eta \approx 0$ Momentum resolution at $p_T = 1$ GeV and $\eta \approx 2.5$ Momentum resolution at $p_T = 100$ GeV and $\eta \approx 0$ Momentum resolution at $p_T = 100$ GeV and $\eta \approx 2.5$	1.3% 2.0% 3.8% 11%	0.7% 2.0% 1.5% 7%		
Comparable; dominated by pixel resolutions	Transverse i.p. resolution at $p_T = 1$ GeV and $\eta \approx 0$ (µm) Transverse i.p. resolution at $p_T = 1$ GeV and $\eta \approx 2.5$ (µm) Transverse i.p. resolution at $p_T = 1000$ GeV and $\eta \approx 0$ (µm) Transverse i.p. resolution at $p_T = 1000$ GeV and $\eta \approx 2.5$ (µm)	75 200 11 11	90 220 9 11		
CMS: smaller pixels	Longitudinal i.p. resolution at $p_T = 1$ GeV and $\eta \approx 0$ (µm) Longitudinal i.p. resolution at $p_T = 1$ GeV and $\eta \approx 2.5$ (µm) Longitudinal i.p. resolution at $p_T = 1000$ GeV and $\eta \approx 0$ (µm) Longitudinal i.p. resolution at $p_T = 1000$ GeV and $\eta \approx 2.5$ (µm)	150 900 90 190	125 1060 22–42 70		
[Froidevaux, Sphicas]	Examples of typical reconstruction efficiencies, momentum resolutions, and transver parameter (i.p.) resolutions are given for various particle types, transverse momenta, an	se and longitud d pseudorapidit	linal impact ies.		

TA DT AC

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## Tracking Efficiency





#### Method:

Measure tracks with SCT and TRT and check whether it is also reconstructed by Pixel detector ...

#### Correct for ...

Secondary particles from decays [not seen by Pixel]

Hadronic Interactions [not seen by SCT-TRT]

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#### Performance

Track reconstruction efficiency is also computed from Monte Carlo (MC) simulation and compared to low-luminosity runs.

Default selection cuts are applied:

- pT > 500 MeV,
- |eta| < 2.5,
- only particles with lifetime > 10<sup>-10</sup> s, B-layer hits >=1 if expected,
- SCT hits >1.





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## ATLAS track reconstruction efficiency

0.9

0.8

0

-2

-1





6

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-µ=1; Robust

----- μ=21; Default

µ=21; Robust

μ=41; Default

µ=41; Robust

10

p<sub>+</sub> [GeV]



0

2

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#### "µ" indicates pile-up See later

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# Momentum Resolution (before data taking!)

Track parameter resolution determined by comparing split-track pairs, i.e. looking at upper and lower half of cosmic muons ...



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upper track



#### Using cosmics to prepare detectors in 2015





## Checking the Momentum Scale



Momentum Reconstruction with Inner Tracker



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## Checking the Momentum Scale



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#### Mass resolution

Systematics on tracking and measured momentum due to misalignment have been studied using

J/Psi->µµ and Z->µµ decays.

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Momentum bias is extracted from data for electrons and positrons from Z and W decays using

E (em calorimeter)/p (tracking detectors)

method with parametrization of momentum q/p = q/p [1+qpT delta(sagitta)].

Except for a few isolated spots in very forward region, local biases stay within |delta| < 0.5 TeV<sup>-1</sup>, corresponding to < 2% bias at 40 GeV.





#### **2** Reconstruction of primary vertices

Finding (first) Fitting (then)

The reconstruction of primary vertices is organized in two steps: a) the primary vertex finding algorithm, dedicated to associate reconstructed tracks to the vertex candidates, and b) the vertex fitting algorithm, dedicated to reconstruct the vertex position and its corresponding error matrix. It also refits the associated tracks constraining them to originate from the reconstructed interaction point. The detailed implementation of the *finding* and *fitting* algorithms is described below.

In this analysis, reconstructed tracks fulfilling the following quality requirements are used for the primary vertex reconstruction:

- $p_{\rm T} > 150$  MeV,
- $|d_0| < 4 \text{ mm},$
- $\sigma(d_0) < 5 \text{ mm},$
- $\sigma(z_0) < 10 \text{ mm},$
- at least 4 hits in the SCT detector,
- at least 6 hits in the pixel and SCT detectors.

Here  $d_0$  and  $z_0$  denote the transverse and longitudinal impact parameters of tracks with respect to the centre of the luminous region, and  $\sigma(d_0)$  and  $\sigma(z_0)$  denote the corresponding uncertainties as estimated in the track fit. The symbol  $p_T$  denotes the reconstructed track transverse momentum. The selection criteria based on the impact parameters are designed to remove a good fraction of the tracks originating from secondary interactions. As estimated from simulations, based on results obtained with the PYTHIA Monte Carlo program [6] and the full simulation of the ATLAS detector, in non-diffractive pp collisions



#### Robust vertex reconstruction

at 7 TeV, the above requirements are fulfilled by  $(83.5 \pm 0.1)\%$  of reconstructed tracks corresponding to primary particles.

The luminous region in ATLAS is determined during a physics run, typically every  $\approx 10$  minutes, by applying an unbinned maximum likelihood fit to the distribution of primary vertices recorded in this period of time, where the same primary vertex reconstruction algorithm is used as described in the following, but without applying the beam-spot constraint. A detailed description of how the beam-spot is determined and on the uncertainties connected with its determination can be found in Ref. [7].

The Iterative Vertex Finding approach used for this study works as follows:

- Reconstructed tracks compatible with originating from the interaction region are pre-selected according to the criteria listed above.
- A vertex seed is found by looking for the global maximum in the distribution of *z* coordinates of the tracks, computed at the point of closest approach to the beam spot center.
- The vertex position is determined using the *adaptive vertex fitting* algorithm [8], which takes as input the seed position and the tracks around it. The adaptive vertex fitter is a robust  $\chi^2$  based fitting algorithm which deals with outlying track measurements by down-weighting their contribution to the overall vertex  $\chi^2$ . The down-weighting is performed progressively, while the fit iterations proceed according to a fixed number of steps (deterministic annealing scheme [8]).
- Tracks incompatible with the vertex by more than approximately 7  $\sigma$  are used to seed a new vertex. The compatibility of the track to the vertex is expressed in terms of a  $\chi^2$  with 2 degrees of freedom. The present cut is  $\chi^2 > 49$ . This procedure is repeated until no unassociated tracks are left in the event or no additional vertex can be found.

The very loose cut of  $\chi^2 > 49$  is intended to reduce the number of single vertices which split into two due to the presence of outlying track measurements.



Vertex Reconstruction

## https://arxiv.org/pdf/1611.10235.pdf

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Vertex fitting - 0





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Vertex fitting - 1



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Vertex fitting - 2



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#### ATLAS vertexing

takes z-position of track at beam-line as seed iterative Chi<sup>2</sup> fit of nearby tracks new seed from tracks displaced by more than 7 beam-spot used as a constraint



Vertex position resolution in data and MC for the transverse (left) and longitudinal coordinate as a function of number of tracks in vertex [2].



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## Crowded events $\rightarrow$ Pile Up!



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 $f^{m-1}$  candidate  $Z \to \mu^+ \mu^-$  event with 25 reconstructed vertices.



The number of proton-proton interactions per bunch crossing follows a Poisson distribution with mean value  $\mu$ . During a fill,  $\mu$  decreases with decreasing beam intensity and increasing emittance, such that the quoted peak value, or  $\mu^{\text{peak}}$ , is the highest value in a single bunch crossing at the start of the stable beam period of the fill. The number of interactions per bunch crossing also varies between bunches. The number of interactions averaged over all bunch crossings and averaged over the data analysed will be referred to as  $\langle \mu \rangle$ .

In data,  $\mu$  is calculated using the following formula:

$$\mu = \frac{L \times \sigma_{\text{inel}}}{n_{\text{bunch}} f_{\text{r}}} \qquad \qquad \frac{L}{f_{r}} \sim \frac{N_{1} N_{2}}{A} \qquad (1)$$

where *L* is the luminosity,  $\sigma_{inel}$  is the total inelastic cross-section,  $n_{bunch}$  the number of colliding bunches and  $f_r$  the LHC revolution frequency. The uncertainty on  $\mu$  depends on the uncertainties on the luminosity and the total inelastic cross-section. The luminosity measurement is performed with dedicated detectors and calibrated using special LHC fills. The uncertainty on the integrated luminosity is ~ 3.9% [5] for the 2011 physics data. The high-intensity runs studied have an additional 1% uncertainty to account for the extrapolation of direct luminosity measurements from lower intensity runs. The total inelastic cross-section used,  $\sigma_{inel} = 71.5$  mb, is taken from Pythia [6]. The value is ~3% lower than the measurement from TOTEM of  $73.5 \pm 1.9$  mb [7]. The total cross-section has also been measured by ATLAS to be  $69.1 \pm 2.4(exp.) \pm 6.9(extr.)$  mb [8, 9] by extrapolating a measurement of the cross-section for events in the acceptance of scintillators in the forward region. The difference between the ATLAS and TOTEM measurements and the nominal value from Pythia is taken as a systematic uncertainty on  $\mu$  of 3%. <sup>Colliders</sup>



#### $< n_{Vx} > vs \mu$

In an ideal detector with perfect resolution and acceptance you would expect to have

 $< n_{Vx} > \sim \mu$ 

 $\epsilon$  is the vertex reconstruction efficiency  $p_{mask}$  is the inability of resolving nearby vertices  $F(\epsilon\mu, p_{mask})$  is a correction due to masking effects (two undistinguished close vertices)

The average number of reconstructed vertices is shown as a function of the average number of interactions  $\mu$ . The red triangles show the simulation prediction for minimum-bias events without any trigger bias using the 2012 setup for beam and detector conditions. The simulation covers the  $\mu$  range up to 22 and a higher interval which was observed in some special high- $\mu$  data taking runs.

The simulation has been fitted (orange line) with a function taking into account the vortex reconstruction efficiency  $\varepsilon$  and the inability of resolving nearby interactions in distinct vertices (vertex masking):  $\langle n_{vertices} \rangle = \varepsilon \mu - F(\varepsilon \mu, p_{mask})$ .  $F(\varepsilon \mu, p_{mask})$  is a function that estimates the correction to the number of reconstructed vertices for masking effects. The probability of not resolving two interactions in distinct vertices,  $p_{mask}$ , depends on the detector and vertex reconstruction algorithm performance (assumed to be independent from pile-up) and on the density of the interactions (which depends on the beamspot longitudinal profile). The latter dependence is exploited to test the effect of a different beamspot longitudinal profile (approximately flat, called *Crab Kissing*); this is shown in the Figure as a blue line.

Fakes have been found to be negligible in the range covered by simulation and are assumed to be equally negligible in the extrapolated regions. The correction to the number of reconstructed vertices for masking effects  $F(\epsilon\mu, p_{mask})$  is show by the dotted lines for the two configurations.



## High pile-up events





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#### High pile-up events & robust reconstruction



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## Vertices in high pile-up events



Vertex reconstruction efficiency (top left) and fake probability (top right) as a function of the average number of interactions in minimum bias MC and the average number of tracks per event as a function of the number of vertices for data and simulation (bottom) [4].





(a) Non-primary fraction vs  $\eta$ 

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#### Vertex resolution



Figure 8: Estimated vertex resolution  $\sigma_{x_{PV},true}$  in 7 TeV data as a function of the number of tracks  $N_{trk}$  (left) or as a function of the value of  $\sqrt{\sum_{trk} p_T^2}$  (right).



Figure 9: Estimated vertex resolution  $\sigma_{z_{PV},true}$  in 7 TeV data as a function of the number of tracks  $N_{trk}$  (left) or as a function of the value of  $\sqrt{\sum_{trk} p_T^2}$  (right).

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## Summary

- Use of MC in data analysis
- Material budget using gamma conversions and secondary hadronic interactions → detector radiography
- Tracking using Inner
   Detectors → Kalman filter technique



- Alignment and effect of mis-alignment  $\rightarrow$  impact on resolution and efficiency
- Checking the momentum scale using invariant mass of known resonances (and 'half cosmics')
- Primary and secondary vertices
- Pile-up
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